# Set-Valued Tableaux: $q$-Enumeration and Catalan Combinatorics 

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$$
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$$

Joint with Sam Hopkins (Howard University) and Svante Linusson (KTH)

## Preliminaries: Standard Young Tableaux

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$\mathcal{S Y T}(\lambda)=\{$ SYT of shape $\lambda\}$.
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Theorem (Frame-Robinson-Thrall)

$$
\# \mathcal{S Y} \mathcal{T}(\lambda)=n!\cdot \prod_{u \in \lambda} \frac{1}{h(u)}
$$

where $h(u)$ is the hook-length of the cell $u$.

| 1 | 3 | 4 | 5 | 7 |
| :---: | :---: | :---: | :---: | :---: |
| 2 | 6 | 8 |  |  |
| 9 |  |  |  |  |

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| 9 |  |  |  |  |  |
|  |  |  |  |  |  |

Stanley's hook-content formula implies:

$$
\sum_{T \in \mathcal{S Y Y}(\lambda)} q^{\operatorname{comaj}(T)}=[n]_{q}!\prod_{u \in \lambda} \frac{1}{[h(u)]_{q}},
$$

where $[a]_{q}=1+q+\cdots+q^{a-1}$ and $[a]_{q}!=[a]_{q}[a-1]_{q} \cdots[1]_{q}$.

## Preliminaries: (Reverse) Plane Partitions

Plane partition of $\lambda$ : filling of the Young diagram of $\lambda$ with pos. integers that is weakly decreasing along rows and columns.

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| 6 | 6 | 5 |
| :--- | :--- | :--- |
| 6 | 4 |  |
| 1 |  |  |
|  |  |  |
|  |  |  |


| 1 | 1 | 2 |
| :--- | :--- | :--- |
| 3 | 4 |  |
| 3 |  |  |
|  |  |  |
|  |  |  |

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| 6 | 6 | 5 |
| :--- | :--- | :--- |
| 6 | 4 |  |
| 1 |  |  |
|  |  |  |
|  |  |  |


| 1 | 1 | 2 |
| :--- | :--- | :--- |
| 3 | 4 |  |
| 3 |  |  |
|  |  |  |
|  |  |  |

$\mathcal{P} \mathcal{P}_{m}(\lambda)=\{$ plane partitions of $\lambda$ with largest entry $\leq m\}$.
$\mathcal{R} \mathcal{P} \mathcal{P}_{m}(\lambda)=\{$ reverse plane partitions of $\lambda$ with largest entry $\leq m\}$.

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## Theorem (MacMahon)

$$
\sum_{\pi \in \mathcal{P} \mathcal{P}_{m}(a \times b)} q^{|\pi|}=\prod_{i=1}^{a} \prod_{j=1}^{b} \frac{[i+j+m-1]_{q}}{[i+j-1]_{q}}
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| 1,2 | $3,5,6$ | 11 |
| :--- | :--- | :--- |
| 4,7 | $8,9,10$ |  |

Idea: SYT of shape $\lambda$ with $k$ additional entries.

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$\mathcal{S Y} \mathcal{T}^{+k}(\lambda)=\{$ set-valued SYT of $\lambda$ with $k$ additional entries $\}$.
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When $k=1$, fillings are barely set-valued.

## $q$-Counting BSV Objects

Theorem (Hopkins-L.-Linusson)
(1) $\sum_{S \in \mathcal{S} \mathcal{T}^{+1}(a \times b)} q^{\text {comaj }^{+1}(S)}=\frac{[a]_{q}[b]_{q}}{[a+b]_{q}}[a b+1]_{q} \prod_{i=0}^{a-1} \frac{[i]_{q}!}{[b+i]_{q} \text { ! }}$,

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(2) $\sum_{\tau \in \mathcal{R P P}_{m}^{+1}(a \times b)} q^{|\tau|-1}=\frac{[a]_{q}[b]_{q}}{[a+b]_{q}}[m]_{q} \prod_{i=1}^{a} \prod_{j=1}^{b} \frac{[i+j+m-1]_{q}}{[i+j-1]_{q}}$.

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comaj $^{+1}=$ slightly intricate statistic.
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(1) is the $m \rightarrow \infty$ limit of (2).

## $\operatorname{comaj}^{+k}$

Let $T \in \mathcal{S Y} \mathcal{T}^{+k}(\lambda), T^{*}$ the filling of $\lambda$ with only the minimal elt of each cell, and $d_{1}, \ldots, d_{k}$ the $k$ additional elements.

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$T^{*}$ breaks up into skew shapes $S_{1}, \ldots, S_{k+1}$, each consisting of the cells filled with the numbers $d_{i}+1, \ldots, d_{i+1}-1$.

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| 1 | 2 | 4 |
| :---: | :---: | :---: |
| 3 | 5,6 | 7 |
| 8 | 9 |  |$\leftrightarrow$| 1 | 2 | 4 |
| :--- | :--- | :--- | :--- | :--- |
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| :--- | :--- | :--- |

$$
\begin{aligned}
& \mathrm{D}^{+k}(T):=\bigsqcup \mathrm{D}\left(S_{i}\right) \cup\left\{d_{1}, \ldots, d_{k}\right\} \\
& \operatorname{comaj}^{+k}(T):=\sum_{i \in \mathrm{D}^{+k}(T)} n+k-i
\end{aligned}
$$

## $\mathcal{S Y} \mathcal{T}^{+1}(2 \times 2)$

| $S$ | 1 2 <br> 3 4,5 | 1 3 <br> 2 4,5 | 1 2 <br> 3,4 5 | 1 3 <br> 2,4 5 | 1 4 <br> 2,3 5 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{D}^{+1}(S)$ | \{5\} | \{2, 5\} | \{4\} | \{2, 4\} | \{3\} |
| comaj $^{+1}(S)$ | 0 | 3 | 1 | 4 | 2 |



## Proof Outline

Proved on the level of arbitrary (finite) posets $P$ and their sets of order ideals $\mathcal{J}(P)$.

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- Specialize these results to obtain our theorem.


## Two Rows

Up to now: Study $\mathcal{S Y} \mathcal{T}^{+k}$ for fixed $k$ and let total number of entries vary. Question: What about fixing total number of entries and letting $k$ vary?

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Question: What about fixing total number of entries and letting $k$ vary?
Theorem (L.-Linusson)
For all $n \geq 2$

$$
\left|\bigsqcup_{2 b+k=n} \mathcal{S Y T}^{+k}(2 \times b)\right|=\operatorname{Cat}(n-1)
$$

the $n-1$ st Catalan number.

## Catalan ${ }^{+k}$ Combinatorics

## Theorem (L.-Linusson)

$\bigsqcup_{2 b+k=n} \mathcal{S Y T}^{+k}(2 \times b) \leftrightarrow 321$ - avoiding permutations of $[n-1]$

- Elements of top row $\leftrightarrow$ right-to-left minima
- $\#$ columns $=\#\{$ inner valleys $\}-1$


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## Bicolored Motzkin Paths

Bicolored Motzkin Path: Lattice path from $(0,0)$ to $(n, 0)$ using steps $\nearrow, \searrow, \rightarrow$. Steps $\rightarrow$ can be colored red or blue.

$\#\{$ Bicolored Motzkin paths of length $n\}=\operatorname{Cat}(n+1)$ (bijection with Dyck paths of length $2 n+2$ )

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\bigsqcup_{* \times b+k=n} \mathcal{S Y}^{+k}(2 \times b) \leftrightarrow \operatorname{Motz}^{*}(n)
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consequently

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Can also show that $\#\{\operatorname{Motz}(n)$ with restriction (1) $\}=\operatorname{Cat}(n)$.
Consequence: Surprising combinatorial witness of

$$
\operatorname{Cat}(n-1) \leq \operatorname{Cat}(n) \leq \operatorname{Cat}(n+1)
$$

## Ballotlike Paths

Q: What about bicolored restricted Motzkin paths that end at $(n, i)$ ?

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## Theorem (L.-Linusson)

For all $0 \leq i \leq n$,

$$
\left|\operatorname{Motz}^{*}(n, i)\right|=\binom{2 n-2}{n-i-1}-\binom{2 n-2}{n-i-2}+\binom{n-2}{n-i}
$$

Equivalently,

$$
\left|\bigsqcup_{2 b+k-i=n} \mathcal{S} \mathcal{Y} \mathcal{T}^{+k}(b, b-i)\right|=\binom{2 n-2}{n-i-1}-\binom{2 n-2}{n-i-2}+\binom{n-2}{n-i} .
$$

Compare with the ballot numbers $\binom{p+q}{q}-\binom{p+q}{q-1}$.

## Ballotlike Paths

| $\mathbf{8}$ |  |  |  |  |  |  |  |  | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{7}$ |  |  |  |  |  |  |  | 1 | 7 |
| $\mathbf{6}$ |  |  |  |  |  |  | 1 | 6 | 28 |
| $\mathbf{5}$ |  |  |  |  |  | 1 | 5 | 21 | 97 |
| $\mathbf{4}$ |  |  |  |  | 1 | 4 | 15 | 64 | 288 |
| $\mathbf{3}$ |  |  |  | 1 | 3 | 10 | 39 | 159 | 643 |
| $\mathbf{2}$ |  |  | 1 | 2 | 6 | 21 | 76 | 276 | 1002 |
| $\mathbf{1}$ |  | 1 | 1 | 3 | 9 | 28 | 90 | 297 | 1001 |
| $\mathbf{0}$ | 1 | 0 | 1 | 2 | 5 | 14 | 42 | 132 | 429 |
| $\mathbf{i} \mathbf{n}$ | $\mathbf{0}$ | $\mathbf{1}$ | $\mathbf{2}$ | $\mathbf{3}$ | $\mathbf{4}$ | $\mathbf{5}$ | $\mathbf{6}$ | $\mathbf{7}$ | $\mathbf{8}$ |

## $q$-ification

## Current work:

$$
\sum_{2 b+k=n}\left(\sum_{T \in \mathcal{S} \mathcal{Y} \mathcal{T}^{+k}(2 \times b)} q^{\mathrm{comaj}^{+k}(T)}\right)=\text { ??? }
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$$

This is a $q$-analog of the Catalan numbers. It seems to be new!
Question: Is there a nicer formula for it?
Determinantal formulas known for $\left|\mathcal{S Y} \mathcal{T}^{+k}(a \times b)\right|$, but the naive $q$-analogs don't seem to work.

## $q$-ification

| $n$ | Our $q$ Cat |
| :---: | :---: |
| 1 | 0 |
| 2 | 1 |
| 3 | $q+1$ |
| 4 | $q^{3}+2 q^{2}+q+1$ |
| 5 | $q^{6}+2 q^{5}+3 q^{4}+3 q^{3}+2 q^{2}+2 q+1$ |
| 6 | $q^{10}+2 q^{9}+3 q^{8}+7 q^{7}+6 q^{6}+5 q^{5}+6 q^{4}+7 q^{3}+3 q^{2}+q+1$ |

## $q$-ification

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| 6 | $q^{10}+2 q^{9}+3 q^{8}+7 q^{7}+6 q^{6}+5 q^{5}+6 q^{4}+7 q^{3}+3 q^{2}+q+1$ |

## Thank you!

Merci beaucoup!

## $q$-Toggle-Symmetry

Let $P$ be a finite poset, $q>0$. For $p \in P$ the toggle statistics are

$$
\mathcal{T}_{p}^{+}(I)=\left\{\begin{array}{ll}
1, & I \cup\{p\} \in \mathcal{J}(P) \\
0 & \text { else }
\end{array} \quad \mathcal{T}_{p}^{-}(I)= \begin{cases}1, & p \in \max (I) \\
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The $\underline{q \text {-togglability statistic }}$ is $\mathcal{T}_{p}^{q}:=\mathcal{T}_{p}^{+}-q \mathcal{T}_{p}^{-}$.

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$$

The $\underline{q \text {-togglability statistic }}$ is $\mathcal{T}_{p}^{q}:=\mathcal{T}_{p}^{+}-q \mathcal{T}_{p}^{-}$.

$\mu$ is $q$-toggle-symmetric if $\mathbb{E}_{\mu}\left(\mathcal{T}_{p}^{q}\right)=0$ for all $p$, that is, we are $q$ times as likely to toggle a $p$ out of a random $I \in \mathcal{J}(P)$ as we are to toggle $p$ into a random $I \in \mathcal{J}(P)$.

